

# An Anti-Human Epidermal Growth Factor Receptor 2 Monoclonal Antibody H<sub>2</sub>Mab-19 Exerts Antitumor Activity in Mouse Colon Cancer Xenografts

Yukinari Kato,<sup>1,2</sup> Tomokazu Ohishi,<sup>3</sup> Junko Takei,<sup>1</sup> Takuro Nakamura,<sup>1</sup> Masato Sano,<sup>1</sup> Teizo Asano,<sup>1</sup> Yusuke Sayama,<sup>1</sup> Hideki Hosono,<sup>1</sup> Manabu Kawada,<sup>3</sup> and Mika K. Kaneko<sup>1</sup>

Trastuzumab is a humanized antibody against human epidermal growth factor receptor 2 (HER2) that offers significant survival benefits to patients with HER2-overexpressing breast or gastric cancer. HER2 is also known to be overexpressed in colon cancers. In this study, a novel anti-HER2 monoclonal antibody (mAb), H<sub>2</sub>Mab-19 (IgG<sub>2b</sub>, κ) was characterized for its anticancer activity in colon cancers. H<sub>2</sub>Mab-19 showed both antibody-dependent cellular cytotoxicity and complement-dependent cytotoxicity activities against Caco-2, a colon cancer cell line. Furthermore, H<sub>2</sub>Mab-19 significantly reduced tumor development in a Caco-2 xenograft model. These results suggest that treatment with H<sub>2</sub>Mab-19 may be a useful therapy for patients with HER2-expressing colon cancers.

**Keywords:** HER2, monoclonal antibody, antitumor activity

## Introduction

HUMANIZED ANTIBODY AGAINST human epidermal growth factor receptor 2 (HER2) monoclonal antibodies (mAbs) (e.g., trastuzumab and pertuzumab) have been used for the treatment of HER2-positive breast cancer.<sup>(1–3)</sup> Treatment with trastuzumab results in significant survival benefits for these patients.<sup>(4)</sup> In comparison with trastuzumab monotherapy, the combination of trastuzumab plus pertuzumab and chemotherapy has led to significant improvements in overall survival.<sup>(5)</sup> Trastuzumab deruxtecan (DS-8201), which is a mAb that combines a novel enzyme-cleavable linker and a topoisomerase I inhibitor, was recently developed.<sup>(6)</sup> Importantly, DS-8201 shows antitumor activity in low-HER2-expressing tumors, DS-8201 has several innovative features: (i) a highly potent novel payload with a high drug-to-antibody ratio, (ii) good homogeneity, (iii) a tumor-selective cleavable linker, (iv) a stable linker-payload in circulation, (v) a cytotoxic agent with a short *in vivo* half-life, and (vi) bystander effect.<sup>(7)</sup>

We recently developed a novel anti-HER2 mAb (H<sub>2</sub>Mab-19; IgG<sub>2b</sub>, κ) by immunizing BALB/c mice with purified recombinant protein corresponding to the extracellular domain of HER2.<sup>(8)</sup> H<sub>2</sub>Mab-19 demonstrated both antibody-dependent cellular cytotoxicity (ADCC) and complement-dependent cytotoxicity (CDC) against a human breast

cancer cell line (BT-474) as well as two human oral cancer cell lines (HSC-2 and SAS). Furthermore, H<sub>2</sub>Mab-19 significantly reduced tumor development in BT-474, HSC-2, and SAS xenografts. These results suggest that treatment with H<sub>2</sub>Mab-19 may be a useful therapy for patients with HER2-expressing breast and oral cancers. HER2 has also been reported to be expressed in colorectal cancers.<sup>(9)</sup> Based on the antitumor activities of H<sub>2</sub>Mab-19 in breast and oral cancer cell lines, we sought to investigate whether H<sub>2</sub>Mab-19 displayed similar ADCC and CDC activities *in vitro* in a human colon cancer cell line, and to examine its antitumor activity *in vivo* in a mouse xenograft model of colon cancer.

## Materials and Methods

### Cell lines

The LS 174T, Caco-2, HCT-116, HT-29, COLO 201, HCT-8, and SW1116 cell lines were obtained from the American Type Culture Collection (Manassas, VA), and the HCT-15, DLD-1, and COLO 205 cell lines were obtained from the Cell Resource Center for Biomedical Research Institute of Development, Aging and Cancer Tohoku University (Miyagi, Japan). COLO 201, SW1116, HCT-15, DLD-1, and COLO 205 were cultured in RPMI 1640 medium

<sup>1</sup>Department of Antibody Drug Development, Tohoku University Graduate School of Medicine, Sendai, Japan.

<sup>2</sup>New Industry Creation Hatchery Center, Tohoku University, Sendai, Japan.

<sup>3</sup>Institute of Microbial Chemistry (BIKAKEN), Numazu, Microbial Chemistry Research Foundation, Shizuoka, Japan.

(Nacalai Tesque, Inc., Kyoto, Japan), and LS 174T, Caco-2, HCT-116, HT-29, and HCT-8 were cultured in Dulbecco's modified Eagle's medium (DMEM) medium (Nacalai Tesque, Inc.), supplemented with 10% heat-inactivated fetal bovine serum (FBS; Thermo Fisher Scientific, Inc., Waltham, MA, USA), 100 U/mL of penicillin, 100  $\mu$ g/mL of streptomycin, and 25  $\mu$ g/mL of amphotericin B (Nacalai Tesque, Inc.). All cell lines were incubated at 37°C in a humidified atmosphere containing 5% CO<sub>2</sub> and 95% air.

### Animals

All animal experiments were performed in accordance with relevant guidelines and regulations to minimize animal suffering and distress in the laboratory. Animal studies for ADCC were approved by the institutional committee for experiments of the Institute of Microbial Chemistry (Permit No.: 2019-066). Animal studies for antitumor activity were approved by the institutional committee for experiments of the Institute of Microbial Chemistry (Permit No.: 2019-004). Mice were monitored for health and weight every 2–5 days. Experiment duration was 3 weeks. A bodyweight loss >25% and a maximum tumor size >3000 mm<sup>3</sup> were identified as humane endpoints. Mice were euthanized by cervical dislocation, and the death was verified by respiratory arrest and cardiac arrest.

### Flow cytometry

Cells were harvested by brief exposure to 0.25% trypsin/1 mM ethylenediaminetetraacetic acid (EDTA; Nacalai Tesque, Inc.). After washing with 0.1% bovine serum albumin in phosphate-buffered saline (PBS), cells were treated with 1  $\mu$ g/mL anti-HER2 mAb (H<sub>2</sub>Mab-19) for 30 minutes at 4°C, followed by incubation with Alexa Fluor 488-conjugated anti-mouse IgG (1:1000; Cell Signaling Technology, Inc., Danvers, MA, USA). Fluorescence data were collected using an EC800 Cell Analyzer (Sony Corp., Tokyo, Japan).

### Determination of the binding affinity

Cells were suspended in 100  $\mu$ L of serially diluted H<sub>2</sub>Mab-19 (6 ng/mL to 100  $\mu$ g/mL), followed by the addition of Alexa Fluor 488-conjugated anti-mouse IgG (1:200; Cell Signaling Technology, Inc.). Fluorescence data were collected using an EC800 Cell Analyzer (Sony Corp.). The dissociation constant ( $K_D$ ) was obtained by fitting binding isotherms to built-in one-site binding models in GraphPad PRISM 6 (GraphPad Software, Inc., La Jolla, CA, USA).

### Antibody-dependent cellular cytotoxicity

Six 6-week-old female BALB/c nude mice were purchased from Charles River (Kanagawa, Japan). After euthanization by cervical dislocation, their spleens were removed aseptically and single-cell suspensions were obtained by forcing spleen tissues through a sterile cell strainer (352360, BD Falcon; Corning, New York, NY, USA) using a syringe. Erythrocytes were lysed with a 10-second exposure to ice-cold distilled water. Splenocytes were washed with DMEM and resuspended in DMEM with 10% FBS and used as effector cells. Target cells were labeled with 10  $\mu$ g/mL Calcein

AM (Thermo Fisher Scientific, Inc.) and resuspended in the same medium. The target cells were plated in 96-well plates (2  $\times$  10<sup>4</sup> cells/well) and mixed with effector cells, 100  $\mu$ g/mL of anti-HER2 antibodies, or control IgG (mouse IgG<sub>2b</sub>) (Sigma-Aldrich Corp., St. Louis, MO, USA). After a 4-hour incubation, the Calcein AM release of supernatant from each well was measured. Fluorescence intensity was determined using a microplate reader (Power Scan HT; BioTek Instruments, Winooski, VT, USA) with an excitation wavelength of 485 nm and an emission wavelength of 538 nm. Cytolytic activity (% of lysis) was calculated as % lysis =  $(E - S)/(M - S) \times 100$ , where  $E$  is fluorescence of combined target and effector cells,  $S$  is spontaneous fluorescence of target cells only, and  $M$  is maximum fluorescence measured after lysing all cells with a buffer containing 0.5% Triton X-100, 10 mM Tris-HCl (pH 7.4), and 10 mM of EDTA.

### Complement-dependent cytotoxicity

Cells were plated in 96-well plates (2  $\times$  10<sup>4</sup> cells/well), in DMEM supplemented with 10% FBS, and incubated for 5 hours at 37°C with 100  $\mu$ g/mL of anti-HER2 antibodies or control IgG (mouse IgG<sub>2b</sub>) (Sigma-Aldrich Corp.) and 10% of rabbit complement (Low-Tox-M Rabbit Complement; Cedarlane Laboratories, Hornby, Ontario, Canada). To assess cell viability, an MTS [3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium; inner salt] assay was performed using a CellTiter 96 AQueous assay kit (Promega, Madison, WI, USA).

### Antitumor activity of H<sub>2</sub>Mab-19 in the xenografts of colon cancers

Sixteen 6-week-old female BALB/c nude mice were purchased from Charles River and used at 7 weeks of age. Caco-2 cells (0.3 mL of 1.33  $\times$  10<sup>8</sup> cells/mL in DMEM) were mixed with 0.5 mL BD Matrigel Matrix Growth Factor Reduced (BD Biosciences, San Jose, CA, USA). Mice were injected subcutaneously into the left flank (100  $\mu$ L) with the aforementioned suspension (5  $\times$  10<sup>6</sup> cells). After day 1, 100  $\mu$ g H<sub>2</sub>Mab-19 or control mouse IgG (Sigma-Aldrich Corp.) in 100  $\mu$ L PBS were injected into treated and control mice through intraperitoneal injection (i.p.), respectively. Additional antibodies were then injected on days 7 and 14. Eighteen days after cell implantation, all mice were euthanized by cervical dislocation, and tumor diameters and volumes were determined as previously described.<sup>(10)</sup>

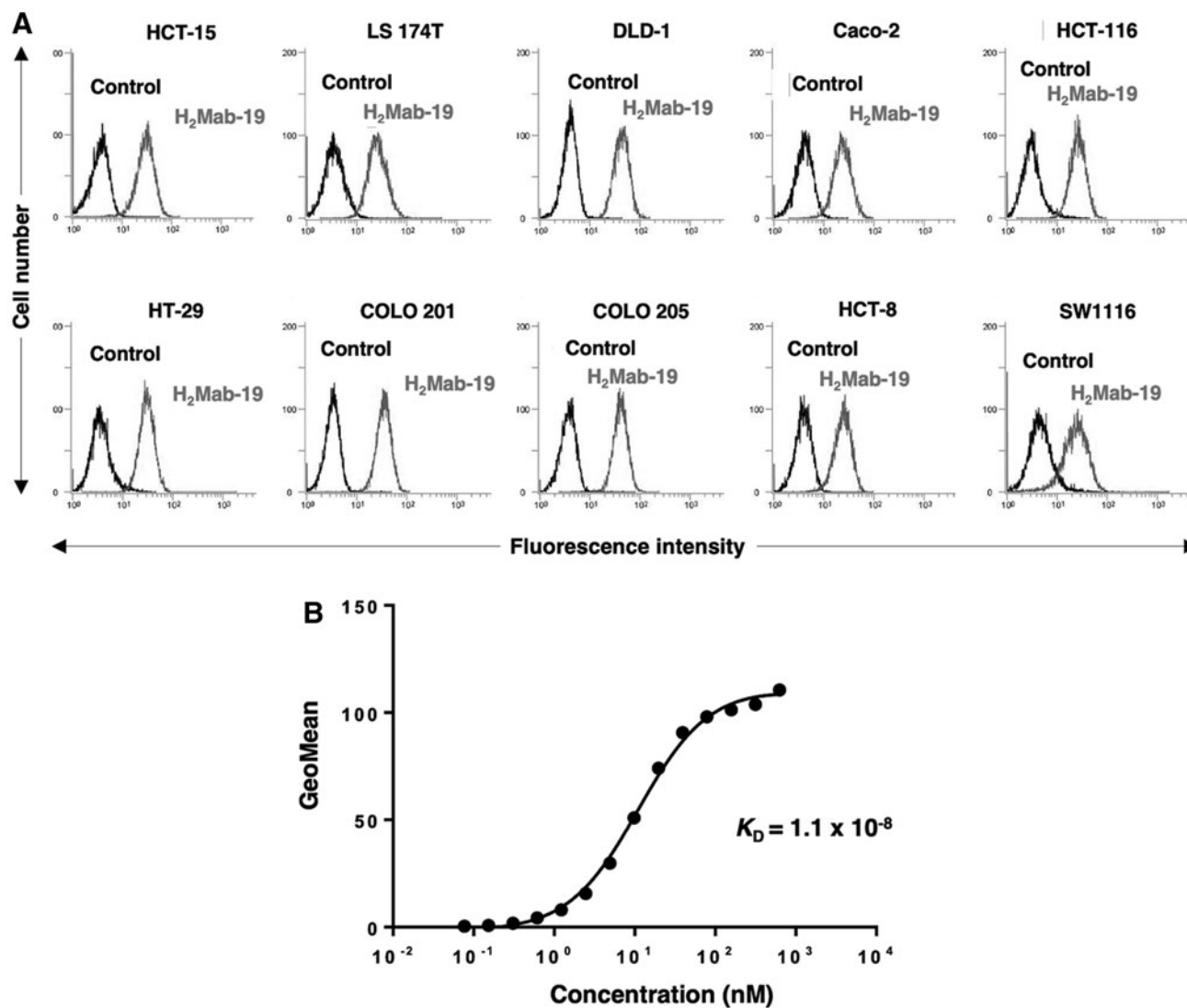
### Statistical analyses

All data were expressed as mean  $\pm$  standard error of the mean. Statistical analysis used ANOVA and Tukey–Kramer's test with R statistical  $p < 0.05$  was adopted as a level of statistical significance.

## Results

### Characterization of H<sub>2</sub>Mab-19 against colon cancer cell lines

H<sub>2</sub>Mab-19 recognized endogenous HER2 in the 10 colon cancer cell lines tested, HCT-15, LS 174T, DLD-1, Caco-2,



**FIG. 1.** Characterization of H<sub>2</sub>Mab-19 using flow cytometry. (A) Colon cancer cell lines were treated with H<sub>2</sub>Mab-19. The black line denotes the negative control (blocking buffer). (B) Determination of the binding affinity of H<sub>2</sub>Mab-19 for Caco-2 cells using flow cytometry.

HCT-116, HT-29, COLO 201, COLO 205, HCT-8, and SW1116, which are HER2-positive<sup>(11)</sup> (Fig. 1A). Using flow cytometry, the binding affinity ( $K_D$ ) of H<sub>2</sub>Mab-19 to the Caco-2 cell line was  $1.1 \times 10^{-8}$  M, indicating that H<sub>2</sub>Mab-19 shows a high affinity to this HER2-expressing colon cancer cell line.

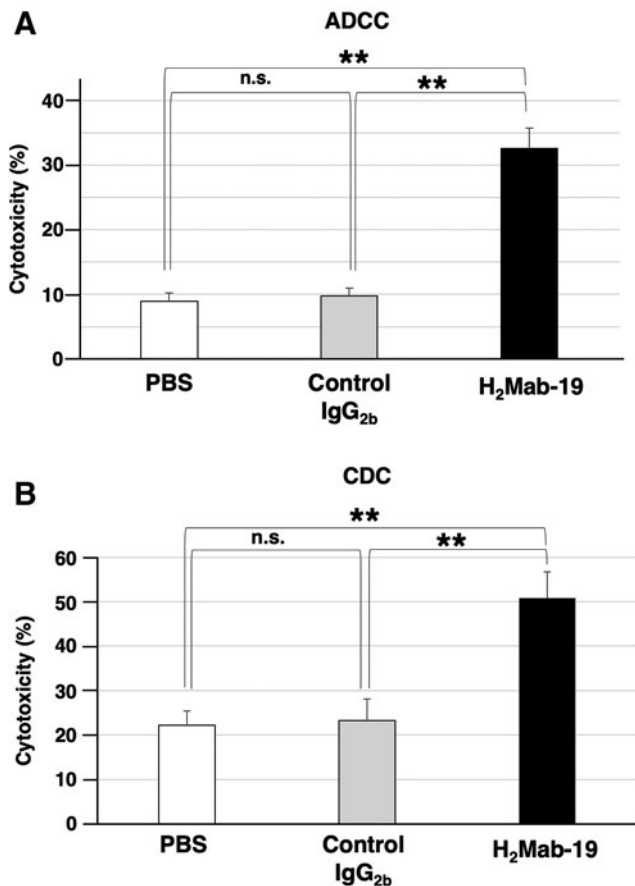
#### H<sub>2</sub>Mab-19-induced ADCC and CDC activities in a colon cancer cell line

This study examined whether H<sub>2</sub>Mab-19 induced ADCC and CDC in the HER2-expressing colon cancer cell line, Caco-2. H<sub>2</sub>Mab-19 is a mouse IgG<sub>2b</sub> subclass antibody, which possesses both ADCC and CDC activities.<sup>(8)</sup> As expected, H<sub>2</sub>Mab-19 exhibited high ADCC activity (32.6% of cytotoxicity; Fig. 2A) and high CDC activity (50.7% of cytotoxicity; Fig. 2B) against Caco-2 cells, suggesting that

H<sub>2</sub>Mab-19 might exert antitumor activity in an *in vivo* model of colon cancer.

#### Antitumor activity of H<sub>2</sub>Mab-19 in mouse xenografts of colon cancer

To study the antitumor activity of H<sub>2</sub>Mab-19 on cell growth *in vivo*, Caco-2 cells were implanted subcutaneously in the flanks of nude mice. H<sub>2</sub>Mab-19 or control mouse IgG was injected *i.p.* three times on days 1, 7, and 14 after Caco-2 cell injection into treated and control mice, respectively. Tumor formation was observed in both H<sub>2</sub>Mab-19-treated and control groups. H<sub>2</sub>Mab-19 treatment significantly reduced tumor development compared with control mice on days 5, 7, 12, 15, and 18 of observation (Fig. 3A). Resected tumors are shown in Figure 3B. The weight of the tumors excised from H<sub>2</sub>Mab-19-treated mice was significantly less

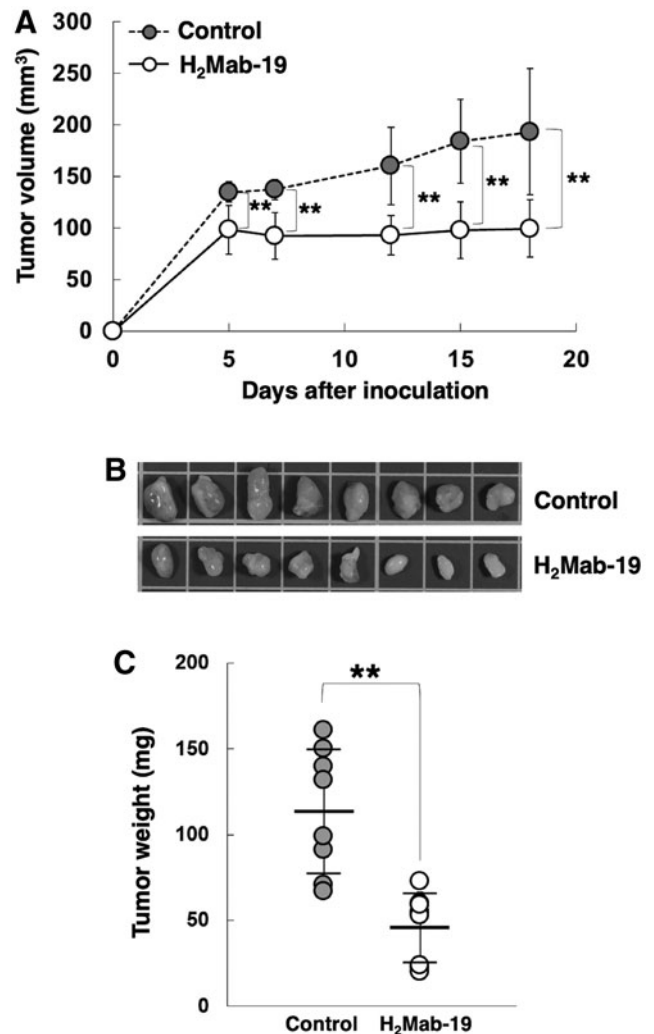


**FIG. 2.** ADCC and CDC activities. **(A)** ADCC activity against Caco-2 cells. **(B)** CDC activity against Caco-2 cells. An asterisk indicates statistical significance (\*\* $p < 0.01$ , n.s., not significant, Tukey–Kramer’s test). ADCC, antibody-dependent cellular cytotoxicity; CDC, complement-dependent cytotoxicity.

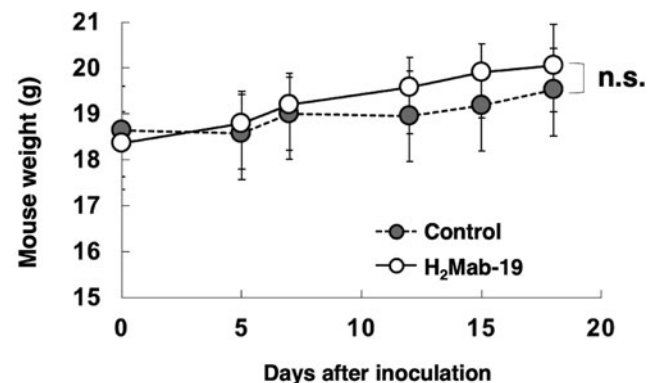
than for tumors from IgG-treated control mice (Fig. 3C). Total body weight was not significantly different between the two groups (Fig. 4).

## Discussion

We previously developed several anti-HER2 mAbs, including H<sub>2</sub>Mab-77,<sup>(12)</sup> H<sub>2</sub>Mab-119,<sup>(13)</sup> and H<sub>2</sub>Mab-139<sup>(11)</sup> using CasMab technology.<sup>(14)</sup> For this technology, it is critical that immunogens are produced using cancer cell lines, such as LN229 glioblastoma cells, which express cancer-specific glycan-attached membrane proteins. These antibodies are useful for flow cytometry, western blot, and immunohistochemical analyses. Unfortunately, the subclass of these mAbs was determined to be mouse IgG<sub>1</sub>; therefore, they do not possess ADCC or CDC activities. Consequently, we further tried to develop an anti-HER2 mAb of either the IgG<sub>2a</sub> or IgG<sub>2b</sub> subclass using CasMab technology because both IgG<sub>2a</sub><sup>(15)</sup> and IgG<sub>2b</sub> antibodies<sup>(16)</sup> show ADCC and CDC activity. We successfully established a novel anti-HER2 mAb (H<sub>2</sub>Mab-19) of subclass IgG<sub>2b</sub>.<sup>(8)</sup> H<sub>2</sub>Mab-19 possesses both ADCC and CDC activity in both human breast cancer



**FIG. 3.** Evaluation of antitumor activity of H<sub>2</sub>Mab-19. **(A)** Tumor volume was measured in mice with Caco-2 xenografts. **(B)** Resected tumors of Caco-2 xenografts on day 18. **(C)** Tumor weight was measured from excised Caco-2 xenografts. Values are mean  $\pm$  SEM. \*\* $p < 0.01$ , the Tukey–Kramer’s test. SEM, standard error of the mean.



**FIG. 4.** Body weights of the mice with the Caco-2 xenografts. Body weights of the mice with the Caco-2 xenografts were measured for 18 days. n.s., not significant.

and oral cancer cell lines. Furthermore, H<sub>2</sub>Mab-19 exerted antitumor activity in human breast cancer and oral cancer xenografts. HER2 has been reported to be expressed in a number of colorectal cancers.<sup>(9)</sup> Based on this data, we sought to investigate whether H<sub>2</sub>Mab-19 has ADCC and CDC activities *in vitro* in a human colon cancer cell line as well as determine its antitumor activity in a mouse xenograft model of colon cancer.

Using flow cytometric analysis, the binding affinity ( $K_D$ ) of H<sub>2</sub>Mab-19 to Caco-2 was determined to be  $1.1 \times 10^{-8}$  M. These results were similar to the  $K_D$  of H<sub>2</sub>Mab-19 observed in BT-474 ( $2.3 \times 10^{-8}$  M), HSC-2 ( $9.5 \times 10^{-9}$  M), and SAS ( $5.5 \times 10^{-9}$  M) cell lines.<sup>(8)</sup> In addition, H<sub>2</sub>Mab-19 exhibited high ADCC activity (32.6% of cytotoxicity; Fig. 2A) and high CDC activity (50.7% of cytotoxicity; Fig. 2B) against Caco-2 cells, which are compatible with the previous data obtained of ADCC and CDC activities of H<sub>2</sub>Mab-19 against human breast cancer and oral cancer cell lines: ADCC activities of H<sub>2</sub>Mab-19 against BT-474, HSC-2, and SAS were 31.5%, 26.2%, and 38.1%, respectively; CDC activities of H<sub>2</sub>Mab-19 against BT-474, HSC-2, and SAS were 45.3%, 50.2%, and 52.3%, respectively,<sup>(8)</sup> indicating that H<sub>2</sub>Mab-19 might exert high cytotoxicity against a colon cancer xenograft model.

In this study, we selected the Caco-2 cell line from 10 HER2-expressing colon cancer cell lines, which were detected by the H<sub>2</sub>Mab-19 in flow cytometry (Fig. 1A), for the colon cancer xenograft model because we previously established Caco-2 xenograft models.<sup>(17)</sup> In our previous study, H<sub>2</sub>Mab-19 treatment significantly reduced tumor development in both breast and oral cancer xenografts compared with tumor development in control mice.<sup>(8)</sup> Similar to our previous study, we found that H<sub>2</sub>Mab-19 demonstrated antitumor activity against Caco-2 xenografts (Fig. 3). Further studies using the other colon cancer xenografts should be performed in the future to confirm that H<sub>2</sub>Mab-19 could be a useful therapy for patients with HER2-expressing colon cancers.

### Acknowledgments

We thank Ms. Akiko Harakawa for technical assistance of animal experiments.

### Author Disclosure Statement

No competing financial interests exist.

### Funding Information

This research was supported in part by AMED under Grant Numbers JP20am0401013 (Y.K.), JP20am0101078 (Y.K.), and JP20ae0101028 (Y.K.), and by JSPS KAKENHI Grant Numbers 17K07299 (M.K.K.) and 19K07705 (Y.K.).

### References

- Lambert JM, and Chari RV: Ado-trastuzumab Emtansine (T-DM1): An antibody-drug conjugate (ADC) for HER2-positive breast cancer. *J Med Chem* 2014;57:6949–6964.
- Valabrega G, Montemurro F, and Aglietta M: Trastuzumab: Mechanism of action, resistance and future perspectives in HER2-overexpressing breast cancer. *Ann Oncol* 2007;18:977–984.
- Amiri-Kordestani L, Wedam S, Zhang L, Tang S, Tilley A, Ibrahim A, Justice R, Pazdur R, and Cortazar P: First FDA approval of neoadjuvant therapy for breast cancer: Pertuzumab for the treatment of patients with HER2-positive breast cancer. *Clin Cancer Res* 2014;20:5359–5364.
- Slamon DJ, Leyland-Jones B, Shak S, Fuchs H, Paton V, Bajamonde A, Fleming T, Eiermann W, Wolter J, Pegram M, Baselga J, and Norton L: Use of chemotherapy plus a monoclonal antibody against HER2 for metastatic breast cancer that overexpresses HER2. *N Engl J Med* 2001;344:783–792.
- Swain SM, Kim SB, Cortes J, Ro J, Semiglazov V, Campone M, Ciruelos E, Ferrero JM, Schneeweiss A, Knott A, Clark E, Ross G, Benyunes MC, and Baselga J: Pertuzumab, trastuzumab, and docetaxel for HER2-positive metastatic breast cancer (CLEOPATRA study): Overall survival results from a randomised, double-blind, placebo-controlled, phase 3 study. *Lancet Oncol* 2013;14:461–471.
- Doi T, Shitara K, Naito Y, Shimomura A, Fujiwara Y, Yonemori K, Shimizu C, Shimoi T, Kuboki Y, Matsubara N, Kitano A, Jikoh T, Lee C, Fujisaki Y, Ogitani Y, Yver A, and Tamura K: Safety, pharmacokinetics, and antitumour activity of trastuzumab deruxtecan (DS-8201), a HER2-targeting antibody-drug conjugate, in patients with advanced breast and gastric or gastro-oesophageal tumours: A phase 1 dose-escalation study. *Lancet Oncol* 2017;18:1512–1522.
- Nakada T, Sugihara K, Jikoh T, Abe Y, and Agatsuma T: The latest research and development into the antibody-drug conjugate, [fam-] Trastuzumab Deruxtecan (DS-8201a), for HER2 cancer therapy. *Chem Pharm Bull (Tokyo)* 2019;67:173–185.
- Takei J, Kaneko MK, Ohishi T, Kawada M, Harada H, and Kato Y: H2Mab-19, an anti-human epidermal growth factor receptor 2 monoclonal antibody exerts antitumor activity in mouse oral cancer xenografts. *Exp Ther Med* 2020;20:846–853.
- Seo AN, Kwak Y, Kim DW, Kang SB, Choe G, Kim WH, and Lee HS: HER2 status in colorectal cancer: Its clinical significance and the relationship between HER2 gene amplification and expression. *PLoS One* 2014;9:e98528.
- Kato Y, Kunita A, Abe S, Ogasawara S, Fujii Y, Oki H, Fukayama M, Nishioka Y, and Kaneko MK: The chimeric antibody chLpMab-7 targeting human podoplanin suppresses pulmonary metastasis via ADCC and CDC rather than via its neutralizing activity. *Oncotarget* 2015;6:36003–36018.
- Kaneko MK, Yamada S, Itai S, and Kato Y: Development of an anti-HER2 monoclonal antibody H2Mab-139 against colon cancer. *Monoclon Antib Immunodiagn Immunother* 2018;37:59–62.
- Itai S, Fujii Y, Kaneko MK, Yamada S, Nakamura T, Yanaka M, Saidoh N, Chang YW, Handa S, Takahashi M, Suzuki H, Harada H, and Kato Y: H2Mab-77 is a sensitive and specific anti-HER2 monoclonal antibody against breast cancer. *Monoclon Antib Immunodiagn Immunother* 2017;36:143–148.
- Yamada S, Itai S, Nakamura T, Chang YW, Harada H, Suzuki H, Kaneko MK, and Kato Y: Establishment of H2Mab-119, an anti-human epidermal growth factor receptor 2 monoclonal antibody, against pancreatic cancer. *Monoclon Antib Immunodiagn Immunother* 2017;36:287–290.

14. Kato Y, and Kaneko MK: A cancer-specific monoclonal antibody recognizes the aberrantly glycosylated podoplanin. *Sci Rep* 2014;4:5924.
15. Kaneko MK, Nakamura T, Honma R, Ogasawara S, Fujii Y, Abe S, Takagi M, Harada H, Suzuki H, Nishioka Y, and Kato Y: Development and characterization of anti-glycopeptide monoclonal antibodies against human podoplanin, using glycan-deficient cell lines generated by CRISPR/Cas9 and TALEN. *Cancer Med* 2017;6:382–396.
16. Ogasawara S, Kaneko MK, and Kato Y: LpMab-19 Recognizes sialylated O-glycan on Thr76 of human podoplanin. *Monoclon Antib Immunodiagn Immunother* 2016; 35:245–253.
17. Itai S, Fujii Y, Nakamura T, Chang YW, Yanaka M, Saidoh N, Handa S, Suzuki H, Harada H, Yamada S, Kaneko MK, and Kato Y: Establishment of CMab-43, a sensitive and

specific anti-CD133 monoclonal antibody, for immunohistochemistry. *Monoclon Antib Immunodiagn Immunother* 2017;36:231–235.

Address correspondence to:

*Yukinari Kato*  
*New Industry Creation Hatchery Center*  
*Tohoku University*  
*2-1, Seiryomachi, Aoba-ku*  
*Sendai*  
*Miyagi 980-8575*  
*Japan*

*E-mail: yukinarikato@med.tohoku.ac.jp*

*Received: March 11, 2020*

*Accepted: May 26, 2020*